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Docket No.: 070456-0151 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Hideki MORIGUCHI, et al. : Confirmation No.: Not Yet Assigned

Application No.: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filed: September 18, 2006 : Examiner: Not Yet Assigned

For: SURFACE-COATED CUTTING TOOL

<u>INFORMATION DISCLOSURE STATEMENT</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed with the application and no certification or fee is required.

A copy of the foreign search report is attached for the Examiner's information. Please note this is a PCT application in the entry of the National Phase in the U.S. and copies of the references cited were transmitted by WIPO and are believed to be in the file of the above identified application and readily available to the Examiner. Therefore it is believed that Applicants have met all requirements regarding duty of disclosure under 37

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CFR 1.56. Acknowledgement and consideration of these documents are respectfully requested.

However, to ensure that these references are available to the Examiner, we are providing copies of these references herewith. Since the Search Report was from the U.S. JPO or EPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above identified application and readily available to the Examiner.

The relevance of JP 2793773, JP 3347687, and JP 2003-34859 A, is discussed in the present specification.

Respectfully submitted,

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Date: September 18, 2006

Please recognize our Customer No. 20277 as our correspondence address.

SHEET 1 OF 1 SERIAL NO 1593279 INFORMATION DISCLOSURE ATTY. DOCKET NO. **Not Yet Assigned** 070456-0151 CITATION IN AN APPLICATION **APPLICANT** Hideki MORIGUCHI, et al. GROUP FILING DATE (PTO-1449) September 18, **Not Yet Assigned** 2006 **U.S. PATENT DOCUMENTS EXAMINER'S** Pages, Columns, Lines, Where CITE **Document Number Publication Date** Name of Patentee or Applicant of Cited INITIALS MM-DD-YYYY NO Document Relevant Passages or Relevant Number-Kind Code2 (d known) Figures Appear 2003/0148145 A1 08-07-2003 Yamamoto et al. Corresponds to JP 2003-34859 A US FOREIGN PATENT DOCUMENTS **EXAMINER'S** Foreign Patent Document Publication Date Name of Patentee or Pages, Columns, Lines Translation INITIALS Applicant of Cited Document Where Relevant CITE Country Codes-Number 4-Kind MM-DD-YYYY Yes No Codes (if known) Figures Appear NO. JP 2004-74361 A 03-11-2004 SUMITOMO ELECTRIC IND JAPAN (w/English Abstract) LTD JP 2004-9267 A 01-15-2004 HITACHI TOOL JAPAN (w/English **ENGINEERING LTD** Abstract) Corresponds to JAPAN (w/English JP 2003-34859 A 02-07-2003 KOBE STEEL LTD Abstract) US 2003/0148145 A1 JP 2004-66361 A 03-04-2004 SUMITOMO ELECTRIC IND JAPAN (w/English Abstract) JP 2004-82230 A 03-18-2004 HITACHI TOOL JAPAN (w/English **ENGINEERING LTD** Abstract) JP 2004-238736 A 08-26-2004 HITACHI TOOL JAPAN (w/English **ENGINEERING LTD** Abstract) JP 2005-126736 A 05-19-2005 **HITACHI TOOL** JAPAN (w/English **ENGINEERING LTD** Abstract) JP 2002-331408 A 11-19-2002 HITACHI TOOL JAPAN (w/English **ENGINEERING LTD** Abstract) JAPAN (w/English JP 2000-326107 A 11-28-2000 HITACHI TOOL **ENGINEERING LTD** Abstract) KOBE STEEL LTD English Abstract JP 7-310174 A 11-28-1995 Corresponds to JP 2793773 only SHINKO KOBELCO TOOL JP 2793773 09-03-1998 Corresponds to KABUSHIKI KAISHA JP 7-310174 A HITACHI TOOL JP 2000-326108 A 11-28-2000 Corresponds to English Abstract **ENGINEERING LTD** only JP 3347687 HITACHI TOOL JP 3347687 11-20-2002 Corresponds to **ENGINEERING LTD** JP 2000-326108 A OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, **EXAMINER'S** INITIALS journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where CITE NO. **EXAMINER** DATE CONSIDERED

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.